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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

SCOTT JOHNSON,  
Plaintiff,

v.

HARVEST INVESTMENT  
MANAGEMENT, LLC,  
Defendant.

Case No.18-cv-01627-VKD

**ORDER RE SANCTIONS AND CASE  
SCHEDULE**

Plaintiff Scott Johnson and his counsel personally appeared for a show cause hearing in this action on March 26, 2019. Dkt. No. 23. Having considered Mr. Johnson's response to the Court's most recent Order to Show Cause and his presentation at the show cause hearing, the Court sanctions Mr. Johnson and his counsel as set forth below. The Court sets a further case management schedule in lieu of dismissing the action.

**I. BACKGROUND**

Mr. Johnson filed the present action on March 15, 2018. Dkt. No. 1. Pursuant to the initial case management scheduling order and General Order 56, his last day to complete service on defendant Harvest Investment Management, LLC ("Harvest") or file a motion for administrative relief from the service deadline was May 14, 2018; the last day to conduct a joint site inspection was June 28, 2018; and the last day to file a notice of need for mediation was August 9, 2018. Dkt. No. 5. Mr. Johnson did not serve Harvest or file a motion for administrative relief from the May 14 service deadline. The parties did not conduct a joint site inspection or seek relief from the June 28 deadline. Mr. Johnson did not file a notice of need for mediation or seek relief from the August 9 deadline.

1           On August 10, 2018, the Court issued an Order to Show Cause why the action should not  
2 be dismissed for failure to serve the summons and complaint within 90 days after the filing of the  
3 complaint pursuant to Rule 4(m) of the Federal Rules of Civil Procedure. Dkt. No. 9.

4           On August 16, 2018, Mr. Johnson filed a response to the Court's Order to Show Cause.  
5 Dkt. No. 11. That response described Mr. Johnson's unsuccessful efforts to locate and serve  
6 Harvest from March 21, 2018 to about mid-May 2018, but suggested that Mr. Johnson made no  
7 efforts thereafter to serve the complaint between approximately mid-May and August 16, 2018.  
8 Dkt. Nos. 11-1, 11-3. Mr. Johnson did not explain this delay or why he failed to seek relief from  
9 the Court. Instead, Mr. Johnson requested an extension of the service deadline to September 30,  
10 2018. Dkt. No. 11 ¶ 11. On August 17, 2018, the Court granted that request and ordered Mr.  
11 Johnson to serve Harvest by September 30, 2018. Dkt. No. 12. The Court reset the June 28, 2018  
12 deadline for the joint site inspection to November 28, 2018, with all other General Order 56  
13 deadlines adjusted accordingly. *Id.*

14           On September 21, 2018, the parties filed a stipulated request to extend the deadline for  
15 Harvest to respond to the complaint. Dkt. No. 16. The parties also requested a new scheduling  
16 order, noting that the deadline for the joint site inspection had already passed. The Court denied  
17 the request for a new scheduling order, as that request demonstrated that the parties had not  
18 bothered to read the Court's August 17, 2018 order. Dkt. No. 17.

19           Pursuant to the Court's August 17, 2018 order, Mr. Johnson's last day to file a notice of  
20 need for mediation was January 9, 2019. Dkt. No. 12; *see also* Dkt. No. 5. He again failed to file  
21 a notice of need for mediation or seek relief from that deadline.

22           Accordingly, on March 18, 2019, the Court issued a second Order to Show Cause why the  
23 action should not be dismissed without prejudice for failure to prosecute and why Mr. Johnson  
24 and/or his counsel should not be sanctioned for failure to comply with the Court's prior orders.  
25 Dkt. No. 21. At the show cause hearing on March 26, 2019, Mr. Johnson acknowledged that he  
26 had failed to comply with the deadlines set by the Court. He offered no reasonable explanation for  
27 this failure to comply or his failure to timely seek relief from those deadlines. Mr. Johnson's  
28 counsel stated that the fault was entirely counsel's and represented that his office would take

1 corrective action to properly track and comply with future court-ordered deadlines.

2 **II. DISCUSSION**

3 Mr. Johnson is the most frequent litigant before this Court. He is also the litigant who  
4 most frequently fails to comply with this Court's orders. This case is not an exception, but rather  
5 is representative of Mr. Johnson's repeated disregard of court-ordered deadlines.

6 The purpose of General Order 56 is to provide efficient and cost-effective procedures for  
7 parties to attempt to resolve disputes arising under the Americans with Disabilities Act ("ADA").  
8 Mr. Johnson's failure to comply with those procedures threatens to undermine the important civil  
9 rights he claims he is vindicating when he files scores of ADA claims every year in this District.

10 The Court will not tolerate Mr. Johnson's repeated non-compliance.

11 **A. Sanctions**

12 The Court possesses the inherent power to dismiss an action *sua sponte* "to achieve the  
13 orderly and expeditious disposition of cases." *Link v. Wabash R.R. Co.*, 370 U.S. 626, 629–33  
14 (1962). That authority includes "the ability to fashion an appropriate sanction for conduct which  
15 abuses the judicial process." *Goodyear Tire & Rubber Co. v. Haeger*, 137 S. Ct. 1178, 1186  
16 (2017) (quoting *Chambers v. NASCO, Inc.*, 501 U.S. 32 (1991)); *see also* 28 U.S.C. § 1927  
17 (authorizing sanctions against counsel for multiplying proceedings in a case unreasonably and  
18 vexatiously).

19 As a result of Mr. Johnson's repeated failures to comply with the Court's orders, this case  
20 is still not ready for mediation or further case management proceedings *more than a year* after it  
21 was filed. Mr. Johnson points out that he has had difficulty obtaining Harvest's cooperation in  
22 complying with the deadlines set by General Order 56. However, he acknowledged that the  
23 remedy for any such lack of compliance is to seek relief from the Court, rather than disregard the  
24 deadlines entirely. The unnecessary delay and burden on the Court occasioned by Mr. Johnson's  
25 lack of compliance with court-ordered deadlines, coupled with his failure to identify any good  
26 cause that would excuse this lack of compliance, warrant sanctions.

27 Mr. Johnson's counsel suggested that the appropriate sanction would be to deny Mr.  
28 Johnson and his counsel a recovery of any attorneys' fees for work done up to the date of the

1 March 26, 2019 show cause hearing. That suggestion presupposes that Mr. Johnson will  
2 ultimately prevail on the merits. The Court declines to adopt that assumption. However, the  
3 Court will bear that suggestion in mind if and when the issue of Mr. Johnson's attorneys' fees is  
4 properly before it.

5 In view of Mr. Johnson's repeated disregard for the Court's orders without good cause, the  
6 Court sanctions Mr. Johnson and his counsel, jointly and severally, in the amount of **\$500.00**.  
7 Payment shall be remitted to the Court with 14 days of this ruling. Mr. Johnson and his counsel  
8 shall file a declaration attaching proof of payment no later than **April 11, 2019**. The Court will  
9 not dismiss the action at this time.

10 **B. Case Schedule**

11 The Court believes the interests of justice are best served by permitting the parties to  
12 continue to litigate their claims and defenses in this case. Accordingly, the Court sets the  
13 following deadlines:

- 14 1. Joint Site Inspection: The joint site inspection is a *mutual* obligation. Neither party  
15 may avoid conducting the inspection without leave of the Court. The parties will  
16 conduct a joint site inspection by no later than **April 12, 2019**. Mr. Johnson must  
17 provide Harvest with five dates between the date of this order and April 12, 2019 on  
18 which he is prepared to conduct the joint site inspection. Harvest must select one of  
19 those five dates, and the parties shall conduct the joint site inspection on the selected  
20 date. Mr. Johnson will file with the Court a declaration confirming that the parties  
21 have conducted the joint site inspection and stating the date on which it was conducted.
- 22 2. Notice of Need for Mediation: If the case is not settled, Mr. Johnson must file a notice  
23 of need for mediation no later than **three weeks** following the date of the joint site  
24 inspection.

25 **III. CONCLUSION**

26 The parties are advised that failure to comply with this order may result in sanctions,  
27 including dismissal of the action and/or an adverse judgment against the offending party.

28 **IT IS SO ORDERED.**

United States District Court  
Northern District of California

1 Dated: March 28, 2019  
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*Virginia K. DeMarchi*  
VIRGINIA K. DEMARCHI  
United States Magistrate Judge